

TABLE1.1:PRODUCTION INFORMATION EPO

PRODUCTION FIGURES FOR 1995 AND 1996					
				1995	1996
Filings					
Total including Euro-PCT international phase				79 245	86 547
Total including Euro-PCT regional phase				60 078	64 035
Production					
Search					
European searches (Euro+Euro-PCT supplm.)				42 472	40 125
PCT searches (PCT-SAE+PCT-SA)				20 457	25 334
Searches on behalf of national Offices				14 238	14 733
Other searches				4 943	5 101
Total production search				82 110	85 293
Examination (final actions)					
European examination				55 182	52 862
PCT Ch. II				13 065	15 680
Opposition (final actions)				2 125	2 331
Total final actions examination/opposition				70 372	70 873
Appeal					
Technical appeals				930	862
Legal appeals				8	5
Other appeals				46	44
Total decisions appeal				984	911

TABLE 1.2: PRODUCTION INFORMATION JPO

PRODUCTION FIGURES 1995 AND 1996		
	1995	1996
Applications Filed		
Domestic	334 612	340 101
Foreign	34 603	36 514
Total	369 215	376 615
Grants		
Domestic	94 804	187 681
Foreign	14 296	27 419
Total	109 100	215 100
Applications in appeals	19 223	13 667

TABLE 1.3 : PRODUCTION INFORMATION USPTO

PATENT PRODUCTION INFORMATION 1995 AND 1996				
	1995		1996	
Applications filed*	212 377		195 187	
First actions	186 269		174 843	
GRANTS				
U.S. resident	55 739	55.0%	61 107	55.7%
Foreign	45 680	45.0%	48 539	44.3%
Japan	21 764	21.5%	23 052	21.0%
EPO	16 758	16.5%	17 319	15.8%
Others	7 158	7.0%	8 168	7.5%
Total	101 419	100.0%	109 646	100.0%
PCT Chapter II	8 078		8 945	
Applications in appeals and interference proceedings				
	Appeals	Interference	Appeals	Interference
Contested	4 900	123	3 500	116
Disposed	3 315	197	3 067	135
Not allowed	2 451	N/A	2 297	N/A
Pending at EOY	4 443	388	6 042	314
Number of patent cases in litigation				
Total Cases Filed	87		53	
Total Cases Disposed	93		61	
Total EOY Cases Pending	48		34	

* For utility patents only

TABLE 3.6: TRILATERAL PATENT FAMILIES AS PROPORTION OF FIRST FILINGS

	Trilateral Patent Family Claiming Priority of	First Filings	Proportion Trilateral Patent Families of First Filings
EPC States	18 137	93 235	19.5%
Japan	16 006	337 498	4.7%
U.S.A.	21 185	92 425	22.9%
Total	55 328	523 158	10.6%

Table 4.4.2 : STATISTICS ON PROCEDURES

Actual figures have been rounded up.

(Definitions are given in the Annex)

Rates (%)		EPO	JPO	USPTO
examination	1995	91	48	100
	1996	91	45	100
grant	1995	67	63	63
	1996	67	n.a.	67
opposition	1995	6.5	6.9	n.a.
	1996	6.2	n.a.	n.a.
maintenance	1995	67.4	60.7	n.a.
	1996	67.0	n.a.	n.a.
appeal : - examinations	1995	45	n.a.	7.0
	1996	48	n.a.	5.4
- oppositions	1995	39	n.a.	n.a.
	1996	41	n.a.	n.a.
- examinations and oppositions ¹	1995	n.a.	19 223	n.a.
	1996	n.a.	13 667	n.a.
Pendency		EPO	JPO	USPTO
pending applications in search	1995	50 200	n.a.	n.a.
	1996	59 600	n.a.	n.a.
pendency search in months	1995	14.7	n.a.	n.a.
	1996	18.6	n.a.	n.a.
applications awaiting request for examination	1995	12 100	2 145 159	n.a.
	1996	11 200	2 148 126	n.a.
pending applications in examination	1995	124 000	394 405	281 637
	1996	120 200	n.a.	290 500
pendency examination in months	1995	24.7	24	19.5
	1996	24.4	n.a.	21.5
pendency first office action in months	1995	n.a.	n.a.	n.a.
	1996	n.a.	22	n.a.
pending applications in opposition	1995	3 760	14 976	n.a.
	1996	3 720	n.a.	n.a.
pendency opposition in months	1995	18.5	33.7	n.a.
	1996	16.9	n.a.	n.a.

¹ 1) For JPO only numbers are available

Table 5 : STATISTICS ON OTHER WORK

		EPO	JPO	USPTO
Requests for International Searches	1995	22 490	2 401	16 673
	1996	28 250	3 317	20 768
Requests for international Preliminary Examinations	1995	14 640	1 270	8 367
	1996	18 110	1 751	9 498
Searches for National Offices or Third Parties	1995	17 980	n.a.	n.a.
	1996	18 490	(n.a.)	(n.a.)
Design Patent Applications	1995	n.a.	40 067	15 409
	1996	(n.a.)	40 192	15 161
Utility Model Patent Applications	1995	n.a.	14 886	n.a.
	1996	(n.a.)	14 082	(n.a.)
Plant Patent Applications	1995	n.a.	n.a.	452
	1996	(n.a.)	(n.a.)	665
Re-issue Patent Applications	1995	n.a.	n.a.	598
	1996	(n.a.)	(n.a.)	592
Trademark Applications	1995	n.a.	179 689	188 850
	1996	(n.a.)	188 160	212 510